## ON J-CONVEXITY AND SOME ERGODIC SUPER-PROPERTIES OF BANACH SPACES

BY

## ANTOINE BRUNEL AND LOUIS SUCHESTON(1)

ABSTRACT. Given two Banach spaces  $F \parallel$  and  $X \parallel \parallel$ , write F fr X iff for each finite-dimensional subspace F' of F and each number  $\epsilon > 0$ , there is an isomorphism V of F' into X such that  $||x| - \|Vx\|| \le \epsilon$  for each x in the unit ball of F'. Given a property P of Banach spaces, X is called super-P iff F fr X implies F is P. Ergodicity and stability were defined in our articles On B-convex Banach spaces, Math. Systems Theory 7 (1974), 294–299, and C. R. Acad. Sci. Paris Ser. A 275 (1972), 993, where it is shown that super-ergodicity and super-stability are equivalent to super-reflexivity introduced by R. C. James [Canad. J. Math. 24 (1972), 896–904]. Q-ergodicity is defined, and it is proved that super-Q-ergodicity is another property equivalent with super-reflexivity. A new proof is given of the theorem that J-spaces are reflexive [Schaffer-Sundaresan, Math. Ann. 184 (1970), 163–168]. It is shown that if a Banach space X is B-convex, then each bounded sequence in X contains a subsequence  $(y_n)$  such that the Cesàro averages of  $(-1)^{i}y_{i}$  converge to zero.

Given two Banach spaces  $F \mid \cdot$  and  $X \mid \cdot \mid \cdot$ , F is said to be finitely representable in X, in symbols F fr X, iff for each finite-dimensional subspace F' of F and each number  $\epsilon > 0$ , there is an isomorphism V of F' into X such that  $|\cdot|x| - |\cdot|Vx|| \cdot | \le \epsilon$  for each x in the unit ball of F'. Given a property P of Banach spaces, we say that X is super-P iff F fr X implies that F has the property P. Super-reflexive spaces were introduced by James [12], [13]; the result announced in [4] but implicit in the earlier paper [3] is that the following super-properties are equivalent: Super-ergodicity, super-reflexivity, super-Banach-Saks, super-stability. Here we define Q-ergodicity, a notion in appearance weaker than ergodicity, and prove that super-Q-ergodicity is another property equivalent with super-reflexivity. At the same time we give a new proof of James's theorem [10] that  $(2, \epsilon)$ -convex spaces are reflexive, and more generally of the recent results of Schaffer-Sundaresan [19], that J-spaces are reflexive. We also show that

Received by the editors June 7, 1973.

AMS (MOS) subject classifications (1970). Primary 46B10; Secondary 47A35.

Key words and phrases. Super-properties, J-convexity, B-convexity, ergodic, reflexive, Banach-Saks.

<sup>(1)</sup> Research of this author is supported by the National Science Foundation grant GP 34118.

B-convex spaces are alternate signs Banach-Saks: Each bounded sequence contains a subsequence  $(y_n)$  such that the Cesàro averages of  $(-1)^i y_i$  converge to zero.

1. Preliminaries. Let X be an arbitrary Banach space with norm  $\| \|$ . An isometry (contraction) is a linear map  $T: X \longrightarrow X$  such that  $\|Tx\| = \|x\|$  ( $\|Tx\| \le \|x\|$ ) for each  $x \subset X$ . The Cesàro averages  $(1/n)(T^0 + \cdots + T^{n-1})$  are denoted by  $A_n$ , or  $A_n(T)$ . The following simple result seems new.

PROPOSITION 1.1. If T is a contraction on a Banach space X then for each  $x \in X$  the limit of  $||A_n x||$  exists.

PROOF. Let  $x \in X$  and set  $\alpha = \liminf \|A_n x\|$ . It suffices to show that, for each  $\delta > 0$ ,

(1.1) 
$$\lim \sup ||A_n x|| \leq \alpha + \delta.$$

Given a  $\delta > 0$ , choose a fixed integer N such that  $||A_N x|| \leq \alpha + \delta$ . If m and n are positive integers,  $mN \leq n \leq (m+1)N$ , then as  $m \to \infty$ 

Therefore it suffices to prove that  $\limsup_m \|A_{mN}x\| \le \alpha + \delta$ .  $\|T\| \le 1$  implies that  $\|T^{jN}A_Nx\| \le \alpha + \delta$  for each j. Hence for each m

(1.3) 
$$||A_{mN}(T)x|| = ||A_m(T^N)A_N(T)x|| \le \alpha + \delta.$$

This proves that  $\lim ||A_n x||$  exists. It is easy to see that this limit, considered as a function of x, is a seminorm.  $\square$ 

Note that applying the proposition to the space of bounded operators on X one obtains: for each contraction T,  $\lim |A_n(T)||$  exists.

We will now define Q-ergodicity. Let S be the space of all sequences  $a=(a_i)_{i=1,2,...}$  such that  $a_i=0$  but all but finitely many i's. Assuming T fixed, set, for each  $x\in X$  and  $a\in S$ ,

$$Q(x; a; n_1, n_2, \cdots)$$

(1.4) 
$$= \|a_1 A_{n_1} x + a_2 A_{n_2} T^{n_1} x + a_3 A_{n_3} T^{n_1 + n_2} x + \cdots \|,$$

(1.5) 
$$L(x, a) = \limsup_{n \to \infty} Q(x; a; n_1, n_2, \cdots), \quad n = \inf(n_i).$$

The  $\lim \sup$  above becomes  $\lim i$  if a is one-dimensional (by Proposition 1.1), or if the norm is "invariant under spreading of the sequence  $T^n x$ " (see Proposition 2.2 below).

Let r be an integer  $\geq 2$  and  $\epsilon$  a number,  $0 \leq \epsilon \leq 1/r$ . The space X is called  $(r, \epsilon)$ -ergodic iff for each isometry T, each  $x \in X$ , any r elements

 $a^1, \dots, a^r$  of S such that  $L(x, a^i) \leq 1$ , one has

(1.6) 
$$\min_{1 \le k \le r} L(x, a^1 + a^2 + \dots + a^k - a^{k+1} - a^{k+2} - \dots - a^r) \le r(1 - \epsilon).$$

X is called Q-ergodic, or qualitatively ergodic, iff it is ergodic for some r and  $\epsilon$ . We recall that X is called ergodic (for isometries) iff  $\lim A_n x$  exists for each isometry T and each  $x \in X$ . We now will show that if X is ergodic, then it is  $(r, \epsilon)$ -ergodic for each r and  $\epsilon$ . It is known and easy to see that the ergodic theorem for T implies that, for each x,  $\lim_n A_n T^j x$  exists uniformly in j. (Apply, e.g., the decomposition theorem [6, p. 662]; uniform in j converges to the limit is obvious for a T-invariant x, and also for an x of the form x = y - Ty.) Let  $\overline{x} = \lim_n A_n x$ ,  $a^j = (a^j_i)$ ,  $\alpha_j = \sum_i a^j_i ||\overline{x}||$ . If T is ergodic then the jth summand in (1.4) converges to  $a_j \overline{x}$ , hence (1.6) follows from the inequality

$$\min_{1 \le k \le r} |\alpha_1 + \alpha_2 + \dots + \alpha_k - \alpha_{k+1} - \dots - \alpha_r| \le (r-1) \sup_{j} |\alpha_j|$$

$$(1.7)$$

$$\le r(1 - \epsilon),$$

easy to verify by induction on r.

A Banach space X is called J- $(r, \epsilon)$ -convex, where  $r \ge 2$ ,  $0 \le \epsilon < 1$ , iff for each r-tuple  $(x_1, \dots, x_r)$  of elements of the unit ball  $U_X$  of X one has

(1.8) 
$$\min_{1 \le k \le r} \|x_1 + \dots + x_k - x_{k+1} - \dots - x_r\| \le r(1 - \epsilon).$$

X is called J-convex iff it is J- $(r, \epsilon)$ -convex for some r and  $\epsilon$ . It follows from a recent unpublished result of R. C. James [13] that J-convexity is a properly stronger notion than B-convexity introduced in [2]; cf. §3 below. It is easy to see that J- $(r, \epsilon)$ -convexity, hence J-convexity, are super-properties; i.e., if X enjoys them, so does every space finitely representable in X. It has been proven by Schaffer-Sunderasan [19], and will be again shown below, that J-convex spaces are reflexive; hence, as already noted in [14], super-reflexive. Since the ergodic theorem holds for reflexive spaces, it follows that J-convex spaces are ergodic. It would be perhaps of interest to give a direct proof of this result; here we only point out that J- $(2, \epsilon)$ -convexity easily implies the relation:

(1.9) 
$$\lim_{n,p\to\infty} \sup ||A_n(T)x - A_p(T)x|| \le 2(1-\epsilon)\lim ||A_n(T)x||$$

for each contraction T on X and each  $x \in X$ : Note that for any fixed positive integers i, N, m one has the identities

(1.10) 
$$A_{2iN} = A_i(T^N) \left[ \frac{1}{2} (A_N + T^{iN} A_N) \right],$$

(1.11) 
$$A_N - A_{mN} = \frac{1}{m} \sum_{i=1}^{m-1} (A_N - T^{iN} A_N).$$

Let  $x \in X$ ;  $\lim ||A_n x|| = \alpha$  exists by Proposition 1.1. Select a fixed number  $\delta$ ,  $0 < \delta < \epsilon \alpha/(2 - \epsilon)$ . Choose a fixed N so large that

$$(1.12) |||A_{N+k}x|| - \alpha| < \delta, k = 0, 1, \cdots.$$

Since  $||T|| \le 1$ , either for some integer i

or for all i

In the first case (1.10) implies  $||A_{2iN}x|| \le (1-\epsilon)(\alpha+\delta)$ , which contradicts (1.12). Therefore (1.14) must hold for all i, and (1.11) implies  $||A_Nx - A_{mN}x|| \le 2(1-\epsilon)(\alpha+\delta)$  for all m. Since  $\delta$  may be chosen arbitrarily small, (1.2) now implies (1.9).

2. Ergodic super-properties. A Banach space X with norm  $\|\cdot\|$  is given. A bounded sequence  $(x_n)$  in X is called *stable* iff there is an element  $\overline{x}$  such that

$$\lim_{n} \left\| \frac{1}{n} \sum_{i=1}^{n} x_{k_i} - \overline{x} \right\| = 0$$

uniformly in the set K of all strictly increasing sequences  $(k_n)$  of natural numbers. Actually, the *uniformity* is an easy consequence of convergence for all  $(k_n) \in K$ . A Banach space is called stable iff every bounded sequence contains a stable subsequence; Banach-Saks iff every bounded sequence contains a subsequence which converges Cesàro. Professor Paul Erdös has recently informed us that he had shown jointly with Professor M. Magidor that every space which is Banach-Saks is also stable, the proof being based on the combinatorial fact that every analytic set is Ramsey [20].

We now return to the setting of our papers [3], [4], in which we have attempted to connect ergodic properties of X with stability, or the Banach-Saks property. We have at first asked the following question: Does an arbitrary bounded sequence  $(x_n)$  in X admit a subsequence  $(e_n)$  such that the shift T on  $(e_n)$  is defined and power-bounded? (By a shift on  $(e_n)$  we understand an operator T satisfying  $Te_n = e_{n+1}$  for all n, and acting on the space spanned by the  $e_n$ 's.) If the answer to this question had been positive, it would follow at once that the ergodic theorem (power-bounded version) for X and its subspaces

implies the Banach-Saks property—therefore the answer is *negative*, since there are reflexive spaces which are not Banach-Saks (Baernstein [1]). This showed the need to change the norm. Denoting the space spanned by  $(e_n)$  and a new norm  $| \cdot |$  by F, we could obtain [3] that the shift on  $(e_n)$  be an isometry, and yet  $| \cdot |$  be so close to  $| \cdot |$  that the ergodic theorem for T on F implies that  $(e_n)$  contains a stable subsequence in X, and F fr X. The implication announced in [4], super-ergodic  $\Rightarrow$  super-stable, follows. We recapitulate the construction of  $(e_n)$  and F. S is the space of all sequences  $a = (a_i)_{i=1,2,\dots}$  with  $a_i = 0$  for all but finitely many i. We have

PROPOSITION 2.1 (PROPOSITION 1 OF [3]). Each bounded sequence  $(x_n)$  in X contains a subsequence  $(e_n)$  with the following property: For each  $a \in S$  there exists a number L(a) such that  $\| \sum a_i e_{n_i} \| \to L(a)$  as the sequences  $(n_1), (n_2), \cdots$  converge to  $\infty$  so that  $n_1 < n_2 < \cdots$ .

Now fix  $(x_n)$  and let  $(e_n)$  be a subsequence of  $(x_n)$  satisfying the conditions of the above proposition. Let  $\varphi(S)$  be the space of linear combinations  $\Sigma a_i e_i$ ,  $a \in S$ . As shown in [3], we may assume without loss of generality that the  $e_n$ 's are algebraically independent in X, and that  $|\Sigma a_i e_i|$  defined as equal to L(a) is a norm on  $\varphi(S)$ . We denote by F the completion of  $\varphi(S)$  in this norm. We now show that F fr X: If F' is an n-dimensional subspace of F, F' is topologically isomorphic to  $l_1^{(n)}$ , hence we commit a negligible error assuming that F' is generated by  $e_1, \cdots, e_m$  for m large. Let the same vectors in X generate a subspace H. Set  $S_n = T^n \colon H \to X$ . Then  $||S_n x|| \to |x|$  on H implies  $M = \sup_n ||S_n|| < \infty$  (uniform boundedness principle), hence  $||T^n x|| \to |x|$  uniformly on compacts of H; therefore uniformly on  $U_{F'}$ . Indeed, if  $Y = \{y_i\}$  is a finite  $\delta$ -net in a compact  $C \subset H$ , then  $|||T^n x|| - |x|| < \delta$  on Y implies that  $|||T^n x|| - |x|| \le \delta + 2\delta M$  on C. To see this, note that if  $||x - y_i|| < \delta$  then

$$|||T^{n}x|| - |x|| \le |||T^{n}x|| - ||T^{n}y_{i}|| + |||T^{n}y_{i}|| - ||y_{i}|| + ||y_{i}|| + ||x|| - \le \delta M + \delta M.$$

The relation F fr X was already implicitly used in Lemma 6 [3] and in [4]. Parting from F we now propose to introduce a new norm!! on  $(e_n)$ , with properties even more pleasing than  $|\cdot|$ ; the space G generated by  $(e_n)$ ,!! will still be finitely representable in X. The main virtue of  $|\cdot|$  (not included in isometric character of the shift T) may be described as invariance under spreading, or (IS) property: The norm of any finite combination of the  $e_n$ 's remains the same when the vectors are shifted, even though their mutual distances (but not positions) may change. This property, formally stated in [3, Lemma 1],

is an immediate consequence of Proposition 2.1. The norm!! will inherit from | | the (IS) property, but will also be equal signs additive, in short of type (ESA): In computing the norm of any finite linear combination of the  $e_i$ 's, consecutive terms of equal sign may be combined. Formally, for any vector  $x = a_1e_1 + \cdots + a_qe_q$ , any integers k, p such that  $1 \le k and <math>a_i \ge 0$  for  $k \le i \le p$ , one has

$$(2.2) !x! = \int_{i=1}^{k-1} a_i e_i + (a_k + \cdots + a_p) e_k + \sum_{i=p+1}^q a_i e_i.$$

It is easy to see that it suffices to verify (2.2) for all k and p such that p - k = 1.

We now let  $A_n(T)$  act on the  $e_i$ 's spread so that different averages have disjoint support. More precisely, given a fixed  $a = (a_i) \in S$  with  $a_i = 0$  for i > q, we define

$$P(n_1, \dots, n_q; s_1, \dots, s_q) = a_1 A_{n_1} e_{s_1} + \dots + a_q A_{n_q} e_{s_q},$$

$$(2.3)$$

$$s_1 > 0, \ s_2 \ge s_1 + n_1, \dots, s_q \ge s_{q-1} + n_{q-1}.$$

Invariance of  $| \cdot |$  under spreading implies that the *F*-norm of the first expression in (2.3) does not depend upon the choice of the  $s_i$ 's; therefore this norm will be denoted by  $Q(n_1, \dots, n_a)$ , or  $Q(e_1; a; n_1, \dots, n_a)$ .

PROPOSITION 2.2. For each  $x = a_1 e_1 + \cdots + a_q e_q$  in  $\varphi(S)$ , the limit of  $Q(e_1; a; n_1, \cdots, n_q)$  as  $\inf(n_i)$  converges to infinity exists. This limit, denoted !x!, is a seminorm on  $\varphi(S)$ .

PROOF. The invariance of | | under spreading implies that for any fixed positive integers  $N_1, \dots, N_q; m_1, \dots, m_q$ 

$$(2.4) Q(m_1N_1, \cdots, m_qN_q) \leq Q(N_1, \cdots, N_q).$$

The particular case of (2.4) where  $m_i = 1$  for  $i = 2, \dots, q$  is obtained by taking the Cesàro average of

$$P(a_1 T^{kN_1} A_{N_1} e_{s_1} + a_2 A_{N_2} e_{s_2} + \cdots + a_q A_{N_q} e_{s_q})$$
 for  $k = 0, 1, \dots, m_1 - 1$ ,

since  $s_2 > s_1 + m_1 N_1$ ,  $s_i \ge s_{i-1} + N_i$  for  $i = 3, \dots, q$  implies that each term has the norm  $= Q(N_1, \dots, N_q)$ . An obvious induction argument, again using invariance under spreading of  $|\cdot|$ , establishes (2.4). We denote by  $\alpha$  ( $\beta$ ) the limit inferior (limit superior) of  $Q(n_1, \dots, n_q)$  as  $n_i$  converge independently to infinity. To prove the proposition, it suffices to show that, for each  $\delta > 0$ ,  $\beta \le \alpha + \delta$ . Choose  $N_1, \dots, N_r$  fixed such that  $Q(N_1, \dots, N_q) \le \alpha + \delta$ ; (2.4) implies  $Q(m_1 N_1, \dots, m_r N_r) \le \alpha + \delta$  for all  $m_i$ . A computation anal-

ogous to (1.2) shows that if  $m_i N_i \le n_i < (m_i + 1) N_i$  for all i, then

(2.5) 
$$\lim_{m_f \to \infty} \sup |Q(m_1 N_1, \cdots, m_q N_q) - Q(n_1, \cdots, n_q)| = 0.$$

 $\beta \le \alpha + \delta$  follows. Finally, it is easy to see that !! is a seminorm on  $\varphi(S)$ .

LEMMA 2.1. The seminorm !! is of type (ESA) on the  $e_n$ 's.

**PROOF.** We verify (2.2) assuming, as we may, that p = k + 1. Since !! is a continuous function of coefficients  $a_i$ , we further may suppose that  $a_k/a_{k+1}$  is a rational number, and write  $a_k = \alpha r$ ,  $a_{k+1} = \alpha s$ , where r, s are positive integers. Then for all integers m > 0,  $t \ge 0$ , one has

$$(2.6) a_k A_{mr} e_t + a_{k+1} A_{ms} e_{mr+t} = (a_k + a_{k+1}) A_{m(r+s)} e_t.$$

The relation (2.5) is now applied, with  $m_k = m_{k+1} = m$ ,  $N_k = r$ ,  $N_{k+1} = s$  to compute !x!, and with  $N_k = r + s$  and  $m_k = m$  to compute the right-hand side of (2.2) which is thus established.  $\square$ 

LEMMA 2.2. If  $|e_1 - e_2| = 0$  then  $(e_n)$  admits a subsequence stable in X.

PROOF.  $|e_1 - e_2| = 0$  implies that

$$|A_n e_1 - A_r e_{1+n}| \longrightarrow 0$$
 and  $|A_p e_1 - A_r e_{1+p}| \longrightarrow 0$  as  $n, p, r \longrightarrow \infty$ .

Choosing r so that  $r/(n+p) \to \infty$ , we have that  $|A_r e_{1+n} - A_r e_{1+p}| \to 0$ ; therefore by the triangular inequality the sequence  $A_n e_1$  is Cauchy in F. Proposition 3 [3] is now applicable.  $\square$ 

Since we wish to prove that the space X is stable, we only need to consider the case when  $!e_1 - e_2! > 0$ ; then !! may be easily seen to be a norm on  $\varphi(S)$ : If  $! \sum_{i=1}^q a_i e_i! = 0$ , then  $!a_1 e_1 + a_3 e_3 + \cdots + a_{q+1} e_{q+1}! = 0$  and also  $!a_1 e_2 + a_3 e_3 + \cdots + a_{q+1} e_{q+1}! = 0$ ; hence  $!a_1(e_1 - e_2)! = 0$  which implies  $a_1 = 0$ . Similarly one shows that  $a_2 = 0, \cdots, a_q = 0$ . Denote by G the completion of  $\varphi(S)$  in this norm.

We show that G is finitely representable in F, hence in X. Let G' be a finite-dimensional subspace of G; we may assume that G' is generated by  $e_1$ ,  $e_2$ ,  $\cdots$ ,  $e_q$ . Let  $V = V_{n_1, \dots, n_q}$  map each vector  $a_1 e_1 + \cdots + a_q e_q$  onto

$$a_1 A_{n_1} e_1 + a_2 A_{n_2} T^{n_1} e_1 + \cdots + a_q A_{n_q} T^{n_1 + \cdots + n_{q-1}} e_1$$

Then for all  $x \in U_{G'}$ , by Proposition 2.2 ||x| - |Vx|| is small if  $n_1, \dots, n_q$  are large. G fr F easily follows (see the proof of F fr X above).

Define a seminorm M on S by

$$(2.7) M(a) = !a_1(e_1 - e_2) + a_2(e_3 - e_4) + a_3(e_5 - e_6) + \cdots !.$$

REMARK. The proofs of the following Lemma 2.3 and Proposition 2.3 use only the (IS) property of the norm. Thus they remain valid with | | replacing !!.

LEMMA 2.3.  $M(a) \ge M(b)$  if for each i,  $a_i b_i \ge 0$  and  $|a_i| \ge |b_i|$ . Hence M is orthogonal, i.e.,  $M(a) \ge M(a^+)$ ,  $M(a) \ge M(a^-)$ , where  $a^+$  is a sequence  $(a_i^+)$ ,  $a^-$  the sequence  $(a_i^-)$ .

PROOF. The invariance under spreading of  $| \cdot |$  implies that for each j, each n,

(2.8) 
$$M(a) = ! y + a_{j}(e_{2j-1} - e_{2j}) + z! = ! y + a_{j}(e_{2j} - e_{2j+1}) + z!$$
$$= \cdots = ! y + a_{j}(e_{2j-1+n} - e_{2j+n}) + z!$$

where

$$(2.9) y = \sum_{i=1}^{j-1} a_i (e_{2i-1} - e_{2i}), z = \sum_{i=j+1}^{\infty} a_i (e_{2i+n-1} - e_{2i+n}).$$

(Since  $a \in S$ , z has only finitely many summands.) Summing the n + 1 expressions inside !! in (2.8) and dividing by n + 1, one obtains

$$M(a) \ge |y + z| - |a_i|! (e_{2i-1} - e_{2i+n})/(n+1)!$$

Let  $n \to \infty$ ; it follows that  $M(a) \ge M(a')$ , where  $a'_i = 0$ ,  $a'_i = a_i$  for  $i \ne j$ . The lemma is proved, because M is a convex function of coordinates.

Proposition 2.3. If G does not contain an isomorphic copy of  $c_0$ , then

(2.10) 
$$\lim_{n} e_{1} - e_{2} + e_{3} - e_{4} + \cdots + e_{2n-1} - e_{2n}! = \infty.$$

PROOF. Set  $u_n = e_{2n-1} - e_{2n}$ ; let G' be the subspace of G generated by the  $u_i$ 's. Write  $M(\sum a_i u_i) = M(a)$  for  $a \in S$ ; extended to G', M is a norm coinciding with !!. Let  $|a| = a^+ + a^-$ , N(a) = M(|a|), N(y) = N(a) if  $y = \sum a_i u_i$ ,  $a \in S$ .  $N(a) \leq M(a^+) + M(a^-) \leq 2M(a)$  by Lemma 2.3. Therefore

Extended to G', N is a norm equivalent with M. This observation will be useful in §3 below. Now if (2.10) fails, Lemma 2.3 gives a  $\beta$  such that, for all n,

$$(2.12) !e_1 - e_2 + e_3 - e_4 + \cdots + e_{2n-1} - e_{2n}! \leq \beta,$$

and also shows that  $M(a^+) \le \beta \cdot \sup(|a_i|)$ ,  $M(a^-) \le \beta \sup(|a_i|)$ ,  $M(a) \le 2\beta \sup(|a_i|)$ . Also,  $M(a) \ge |a_i(e_{2i-1} - e_{2i})| = |a_i|(e_1 - e_2)$ , so that  $M(a) \ge |a_i| \le |a_i| \le |a_i|$ 

 $(\sup |a_i|)!e_1 - e_2!$ . Thus G' is a subspace of G that is isomorphic to  $c_0$ .

PROPOSITION 2.4. If (2.10) holds, then G is not J-convex.

PROOF. We show that G is not J- $(r, \epsilon)$ -convex by first giving a detailed and "graphic" proof of the case r=2, then a brief proof of the general case. Set

$$v_n = e_1$$
  $-e_3$   $+ \cdots + e_{4n-3}$   $-e_{4n-1}$   $w_n = +e_2$   $-e_4 + \cdots + e_{4n-2}$   $-e_{4n}$ .

We have  $!v_n! = !w_n! = !v_n + w_n!/2$ , the last equality by (ESA). To prove that G is not J-(2,  $\epsilon$ )-convex, it will suffice to prove that  $!v_n - w_n!/2!v_n!$  converges to 1. This follows from (2.10) because

$$\begin{aligned} |v_n - w_n| &= |e_1 - (e_2 + e_3) + (e_4 + e_5) - \cdots \\ &- (e_{4n-2} + e_{4n-1}) + (e_{4n} + e_{4n+1}) - e_{4n+1}! \\ &\ge - |e_1| + 2|v_n| - |e_{4n+1}|. \end{aligned}$$

We now show, by essentially the same argument, that G is not J- $(r, \epsilon)$ -convex, where  $r \ge 2$  is arbitrary. Set for  $j = 1, 2, \dots, r$ ;  $n = 1, 2, \dots$ ,

$$(2.13) v_n^j = e_i - e_{i+r} + e_{i+2r} - \cdots + e_{j+(2n-2)r} - e_{j+(2n-1)r}.$$

Then  $|v_n^j| = |v_n^1|$  for each j. In the expression  $d_n^k = v_n^1 + v_n^2 + \cdots + v_n^k - v_n^{k+1} - \cdots - v_n^r$  the terms are arranged as follows: First write  $S_1 = e_1 + e_2 + \cdots + e_k$ . Then  $(2n-1)^r$  terms grouped so that r consecutive  $e_i$ 's with - sign alternate with r consecutive  $e_i$ 's with + sign:

$$S_2 = -(e_{k+1} + e_{k+2} + \dots + e_{k+r}) + (e_{k+r+1} + \dots + e_{k+2r}) - \dots + (e_{k+2(n-2)r+1} + \dots + e_{k+2(n-1)r}).$$

 $S_3$  is composed of the remaining r-k terms of  $d_n^k$ . Then  $\lim_n |S_i|/r!v_n^1! = 0$  for i=1, 3; = 1 for i=2. Hence  $\lim_n |d_n^k|/r!v_n^1! = 1$  for each  $k=1, 2, \cdots, r$ . The proposition is proved.

Now assume that X is J-convex; then so is G and G cannot contain an isomorphic copy of  $c_0$  (cf. [10] or [8], where this is proved for B-convex spaces). Propositions 2.3 and 2.4 and Lemma 2.2 now imply the following theorem:

THEOREM 2.1. A J-convex Banach space is stable (hence super-stable).

THEOREM 2.2. A super-Q-ergodic Banach space is super-stable.

PROOF. If X is super-Q-ergodic then G is Q-ergodic, and the proof of Proposition 2.4 yields a contradiction. Lemma 2.2 now implies that  $(x_n)$  has a

subsequence stable in X; since  $(x_n)$  is arbitrary, it follows that X is stable. Thus super-Q-ergodicity implies stability; it implies super-stability because the relation "fr" is transitive.  $\square$ 

Since a Banach-Saks space, and a fortiori a stable space, is easily seen to be reflexive (cf. [17]), the argument above provides a new proof that J-convex spaces are reflexive. We finally observe that in the course of the proof of Theorem 2.1 we establish the following: Any sequence  $(x_n)$  admits a subsequence  $(e_n)$  such that the sequence  $(e_{2n-1}-e_{2n})$  is an unconditional basis for the IS norm  $|\cdot|$ , finitely representable in  $|\cdot|$  (Because an orthogonal norm is unconditional, and, as observed above, the proofs of Proposition 2.3 and Lemma 2.3 are valid for the norm  $|\cdot|$  as well as ! !.)

3. Alternate signs Banach-Saks property. A Banach space X is called  $(r, \epsilon)$ -convex iff for any r elements  $x_1, \dots, x_r$  in  $U_X$  there is a sequence of signs  $\sigma_1, \dots, \sigma_r$  such that  $(1/r)(\sigma_1x_1 + \dots + \sigma_rx_r) \le 1 - \epsilon$ . A Banach space is called B-convex iff it is  $(r, \epsilon)$ -convex for some integer r and some  $\epsilon > 0$ .

THEOREM 3.1. Every bounded sequence  $(x_n)$  in a B-convex Banach space admits a subsequence  $(y_n)$  such that

(3.1) 
$$\frac{1}{n} \sum_{i=1}^{n} (-1)^{i+1} y_i \to 0.$$

PROOF. We may assume that  $(x_n)$  is not stable, since otherwise  $(y_n)$  satisfying (3.1) may be obtained as a union of two stable subsequences of  $(x_n)$ . Let F' be the subspace of F generated by  $u_1 = e_1 - e_2$ ,  $u_2 = e_3 - e_4$ ,  $\cdots$ . If X is B-convex, then so is F' and therefore, as it is easy to see, there exists a sequence of signs  $(\sigma_n)$  such that

(3.2) 
$$\lim_{n}\inf\left|\frac{1}{n}\sum_{i=1}^{n}\sigma_{i}u_{i}\right|=0.$$

The proof of (3.2) is only sketched since the argument is known. We may assume  $|u_i| \le 1$  for all i. Let X be  $(r, \epsilon)$ -convex. First choose signs  $\sigma_1^1 = +, \sigma_2^1$ ,  $\sigma_3^1, \cdots$  so that if  $y_k = r^{-1} \sum_{i=1+kr}^{r+kr} \sigma_i^1 u_i$ , then  $|y_k| \le 1 - \epsilon$  for k = 0, 1,  $\cdots$ . Second choose signs  $\sigma_1^2 = +, \sigma_2^2, \sigma_3^2, \cdots$  so that if  $z_k = r^{-1} \sum_{i=1+kr}^{r+kr} \sigma_i^2 y_i$  then  $|z_k| \le (1-\epsilon)^2$  for  $k = 0, 1, \cdots$ . Next take Cesàro averages of successive r-tuples of  $\sigma_i^3 z_i$ , where  $\sigma_i^3$  are appropriate signs, etc. This procedure yields a sequence of signs  $\sigma_i$  satisfying (3.2).

As already observed, the proofs of Lemma 2.3 and Proposition 2.3, in particular (2.11), use only the (IS) property of the norm, hence remain valid with  $| \cdot |$  replacing !!. Therefore (3.2) remains valid when all the  $\sigma_i$ 's are replaced by the sign +. Proposition 1.1 with -T replacing T now implies that

 $n^{-1}(u_1 + \cdots + u_n)$  converges to zero in F. The proof of Proposition 3 [3] remains valid if  $(u_n)$  replaces  $(e_n)$ ; hence the sequence  $(u_n)$  contains a subsequence stable in X. This proves (3.1).  $\square$ 

Applying the theorem that every analytic (or only Borel) set is Ramsey (cf. the remarks in the beginning of §2), one may strengthen Theorem 3.1 to read: Every bounded sequence  $(x_n)$  in a *B*-convex space contains a subsequence  $(z_n)$  such that (3.1) holds for each subsequence  $(y_n)$  of  $(z_n)$ .

The alternate signs Banach-Saks property does *not* characterize B-convex spaces since  $c_0$  has it, as has been shown to us by Professor A. Pełczyński.

PROPOSITION 3.1. Let  $(x_n)$  be a sequence of vectors in  $c_0$ ,  $x_n = (x_n^{(i)})_{i=1}^{\infty}$ , with  $\|x_n\| = \sup_i |x_n^{(i)}| \le 1$  for all n. Then for each  $\epsilon > 0$  there exists a subsequence  $(y_n)$  of  $(x_n)$  such that for all integers m

(3.3) 
$$\left\| \sum_{j=1}^{m} (-1)^{j+1} y_j \right\| = \sup_{i} \left| \sum_{j=1}^{m} (-1)^{j+1} y_j^{(i)} \right| \le 2 + \epsilon.$$

Hence (3.1) holds.

PROOF. Choose  $\epsilon > 0$ . Since we can pass to subsequences and apply the diagonal procedure, we may and do assume that  $\lim_{n\to\infty} x_n^{(i)} = a_i$  exists for each i and also that  $|x_n^{(i)} - a_i| < 2^{-n}\epsilon$  if  $|x_k^{(i)}| > 2^{-k}\epsilon$  for some k < n. Then, for a subsequence  $(y_n)$ ,

$$\left\| \sum_{j=1}^{m} (-1)^{j+1} y_j \right\| = \sup_{i} \left| \sum_{j=1}^{m} (-1)^{j+1} y_j^{(i)} \right| < 2 + \epsilon,$$

since for each i we can replace by  $a_i$  each  $x_n^{(i)}$  for which there exists k < n such that  $|x_k^{(i)}| > 2^{-k}\epsilon$ , and obtain

$$\left| \sum_{j=1}^{m} (-1)^{j+1} y_j^{(i)} \right| < \epsilon \left( \sum_{1}^{\infty} 2^{-n} \right) + |x_k^{(i)}| + |a_i| \le 2 + \epsilon. \ \Box$$

Note that reflexive spaces need not be alternate signs Banach-Saks: The example in [1] is not alternate signs Banach-Saks.

## REFERENCES

- Albert Baernstein II, On reflexivity and summability, Studia Math. 42 (1972), 91-94.
- 2. Anatole Beck, On the strong law of large numbers, Ergodic Theory (Proc. Internat. Sympos., Tulane Univ., New Orleans, La., 1961), Academic Press, New York, 1963, pp. 21-53. MR 28 #3470.
- A. Brunel and L. Sucheston, On B-convex Banach spaces, Math. Systems Theory 7 (1974), 294-299.

- 4. A. Brunel and L. Sucheston, Sur quelques conditions équivalentes à la super-refléxivité dans les espaces de Banach, C. R. Acad. Sci. Paris Ser. A-B 275 (1972), A993-A994. MR 47 #7389.
  - 5. M. M. Day, Normed linear spaces, Springer-Verlag, New York, 1970.
- 6. N. Dunford and J. T. Schwartz, Linear operators. 1: General theory, Pure and Appl. Math., vol. 7, Interscience, New York, 1958. MR 22 #8302.
- 7. P. Enflo, Banach spaces which can be given an equivalent uniformly convex norm Israel J. Math. 13 (1973), 281-288.
- 8. D. P. Giesy, On a convexity condition in normed linear spaces, Trans. Amer. Math. Soc. 125 (1966), 114-146. MR 34 #4866e.
- 9. D. P. Giesy and R. C. James, Uniformly non-l<sub>1</sub> and B-convex Banach spaces, Studia Math. 48 (1973), 61-69.
- 10. R. C. James, *Uniformly non-square Banach spaces*, Ann. of Math. (2) 80 (1964), 542-550. MR 30 #4139.
- 11. ———, Some self-dual properties of normed linear spaces, Sympos. Infinite Dimensional Topology, Ann. of Math. Studies, no. 69, Princeton Univ. Press, Princeton, N. J., 1972.
  - 12. ——, Super-reflexive Banach spaces, Canad. J. Math. 24 (1972), 896-904.
  - 13. ———, A nonreflexive Banach space that is uniformly nonoctahedral (to appear).
- 14. R. C. James and J. J. Schaffer, Super-reflexivity and the girth of spheres, Israel J. Math. 11 (1972), 398-404. MR 46 #4175.
- 15. J. Lindenstrauss, On James's paper "Separable conjugate spaces", Israel J. Math. 9 (1971), 279-284. MR 43 #5289.
  - 16. J. T. Marti, Introduction to the theory of bases, Springer-Verlag, New York, 1969.
- 17. T. Nishiura and D. Waterman, Reflexivity and summability, Studia Math. 23 (1963), 53-57. MR 27 #5107.
- 18. A. Perczyński, A note on the paper of I. Singer "Basic sequences and reflexivity of Banach spaces", Studia Math. 21 (1961/62), 371-374. MR 26 #4156.
- 19. J. J. Schaffer and K. Sundaresan, Reflexivity and the girth of spheres, Math. Ann. 184 (1969/70), 163-168. MR 41 #4209.
  - 20. J. Silver, Every analytic set is Ramsey, J. Symblic Logic 35 (1970), 60-64.
- 21. I. Singer, Bases and quasi-reflexivity of Banach spaces, Math. Ann. 153 (1964), 199-209. MR 28 #5321.
- 22. ———, Bases in Banach spaces. I, Die Grundlehren der math. Wissenschaften, Band 154, Springer-Verlag, New York, 1970. MR 45 #7451.

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF PARIS VI, 9, QUAI ST. BERNARD, 75005 PARIS, FRANCE

DEPARTMENT OF MATHEMATICS, OHIO STATE UNIVERSITY, COLUMBUS, OHIO 43210